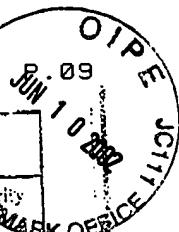


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OFFICE FILING PAGE 18-30

## UNITED STATES OF AMERICA

## COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, place of business and address, as well as all other facts set forth in this application, are true to the best of my knowledge and belief. I further declare that the subject matter which is claimed and for which a patent is sought is my original and valid invention.

**ELECTRONIC TRADING SYSTEM**

A specification of which is attached hereto, unless the following is checked:

was filed on August 29, 2001 at United States Patent and Trademark Office, Washington, D.C., as a non-provisional application, and was amended on (Date) application number 09/942,426.

I hereby state that I have reviewed and understood the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known or to me which is material to patentability and consistent with Title 35, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code, §119, priority to my provisional patent application(s) or certificate of United States provisional application(s) listed below and have also identified below any foreign, national or regional inventor's certificate having a filing date before that of the application on which priority is claimed:

## Prior Foreign or Provisional Application(s)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (U.S. LOCAL TIME)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			YES <input checked="" type="checkbox"/>
			YES <input type="checkbox"/>
			YES <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §119 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s), I claim priority under Title 35, United States Code, §119, to such application(s). I acknowledge the duty to disclose information which is material to patentability and consistent with Title 35, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application(s) and the filing date of this application.

UNITED STATES APPLICATION NUMBER	DATE OF FILING (U.S. LOCAL TIME)	STATUS
		SEARCHED, PENDING, NOT FILED

I hereby appoint customer no. 2352 OSTROLENK, 545 E. 55TH ST., SUITE 1000, and its members of the firm, Samuel H. Weitman - Reg. No. 18,510; Jerome M. Berliner - Reg. No. 18,653; Robert C. Fisher - Reg. No. 14,512; and, as co-inventor, Steven J. Warkind - Reg. No. 27,409; Max Moskowitz - Reg. No. 30,576; Stephen A. Solter - Reg. No. 10,170; and, as attorney, William G. Gile, Jr. - Reg. No. 50,944; Louis C. Doinrich - Reg. No. 30,625; Douglas A. Kado - Reg. No. 10,173; and, as patent agent, Michael J. Karp - Reg. No. 24,425, as a attorney with full power of substitution and revocation to prosecute this application on behalf of the Inventor, to transact all business connected therewith and to receive all correspondence.

SEND CORRESPONDENCE TO: OSTRALENKO, FISHER, GILE & SOLTER, 1180 Avenue of the Americas, New York, NY 10036-3402  
CUSTOMER NO. 2352

I hereby declare that all statements made herein of my own knowledge are true and that all signatures made under oath and believed to be true; and further that these statements were made with the understanding that willful false statements made in the application are punishable by fine or imprisonment, or both, under Section 1001 of the United States Code, and that such statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR Peter Richard Horsfall DATE 10/22/01  
CITY OF CITIZENSHIP United Kingdom

RESIDENCE (City and either State or Foreign Country) Morristown, New Jersey 07960

POST OFFICE ADDRESS 1230 Wetmore Avenue, Morristown, New Jersey 07960

FULL NAME OF SECOND JOINT INVENTOR (if any) None CITY OF CITIZENSHIP United Kingdom

RESIDENCE (City and either State or Foreign Country) None

POST OFFICE ADDRESS None

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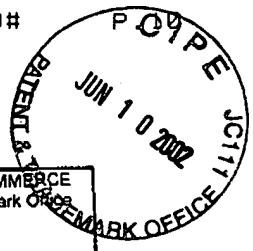
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Form PTO-1595  
(Rev. 03/01)  
OMB No. 0651-0027 (exp. 5/31/2002)

## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

**PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies):

Peter Richard Horsfall (10/22/01)

## 2. Name and address of receiving party(ies)

Name: Electronic Broking Services Limited

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

55-56 Lincolns Inn Fields

Additional name(s) of conveying party(ies)  Yes  No  
attached?

## 3. Nature of Conveyance:

 Assignment Merger Security Agreement Change of Name Other \_\_\_\_\_Execution Date: see Box 1, conveying partiesCity: LondonState: England Zip: WC2A, 3LJAdditional name(s) &  Yes  No  
address(es) attached:

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is: \_\_\_\_\_

## A. Patent Application No.(s):

09/942,426

## B. Patent No.(s):

Additional numbers attached?  Yes  No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Steven I. Weisburd  
DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP

Internal Address: Atty. Dkt.: P/3879-30

Street Address: 1177 Avenue of the Americas

City: New YorkState: NY Zip: 10036-27146. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40.00 Enclosed Authorized to be charged to deposit account

## 8. Deposit account number:

04-1073

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy  
is a true copy of the original document.*

Steven I. Weisburd  
Name of Person Signing

*S*  
Signature

January 31, 2002

Date

Total number of pages including cover sheet, attachments, and documents: \_\_\_\_\_

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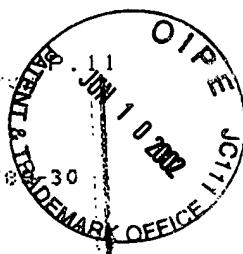
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ORCS FILE NO. P/378-30

PATENT APPLICATION ASSIGNMENT  
OF AND JOINT INVENTORS



WHEREAS, I, Peter Richard Hornsfall, Jr. assignor, have invented certain improvements in ELECTRONIC TRADING SYSTEM for which an application for United States Letters Patent has been executed by me of even date herewith, and

WHEREAS, Electronic Broking Services Limited, an English Limited Company, having its principal place of business at 55-57 Lincoln's Inn Fields, London WC2A, 310, England, as assignee, is desirous of acquiring all right, title and interest in and to said invention and my Patent that may be granted therefore.

NOW, THEREFORE, in consideration of one hundred (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, I, as assignor, hereby assign and set over to said assignee the entire right, title and interest for the United States and all other countries in and to said invention and the aforesaid application for Patent, all original, divisional, continuation, reissue and reissue applications and patents applied for or granted therefor in the United States and all other countries, including all rights of priority from the filing of said application, and all rights for past infringement, and the Commissioner of Patents and his successors is hereby authorized and requested to issue all patents on said inventions or resulting therefrom to said assignee and my heirs, as assignee of the entire interest therein; and the undersigned for myself and my assigns, do hereby agree and covenant without further legal representatives, heirs and assigns do hereby agree and covenant without further consideration, to execute and deliver all divisional, continuation, reissue and other applications for Patent on said inventions and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said inventions, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to assist assignee, its successors, assigns and nominees to obtain patent protection for said invention in the United States and all other countries, the expenses incident to said applications to be borne and paid by said assignee.

22 OCT 2001

Peter Richard Hornsfall

STATE OF NEW JERSEY )  
) ss.:  
COUNTY OF MORRISTOWN )

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_, before me personally came the above-named \_\_\_\_\_, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him of his own free will for the purposes therein set forth.

Notary Public - Seal

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